



Shireland Collegiate Academy Trust Policy

Complaints Policy

Committee and Date Approved	Trust Board – Autumn 2024
Category	Statutory
Next Review Date	Annually unless change in legislation – Autumn 2025
Policy Availability	Trust Website
Officer Responsible	CEO

The Trust, all Academies within the Trust and Shireland Learning Limited must comply with this policy.

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Introduction

Shireland Collegiate Academy Trust is committed to providing high-quality services. Complaints are essential to help us review and further develop our services. We aim to achieve the highest standards.

Definition of a Complaint

A complaint is an expression of dissatisfaction, about the standards of service, actions, or lack of action by Shireland Collegiate Academy Trust and/or its Academies.

Principles and Values

Our main aim is to deal with complaints openly, fairly, promptly and without prejudice.

Our procedures for dealing with complaints will;

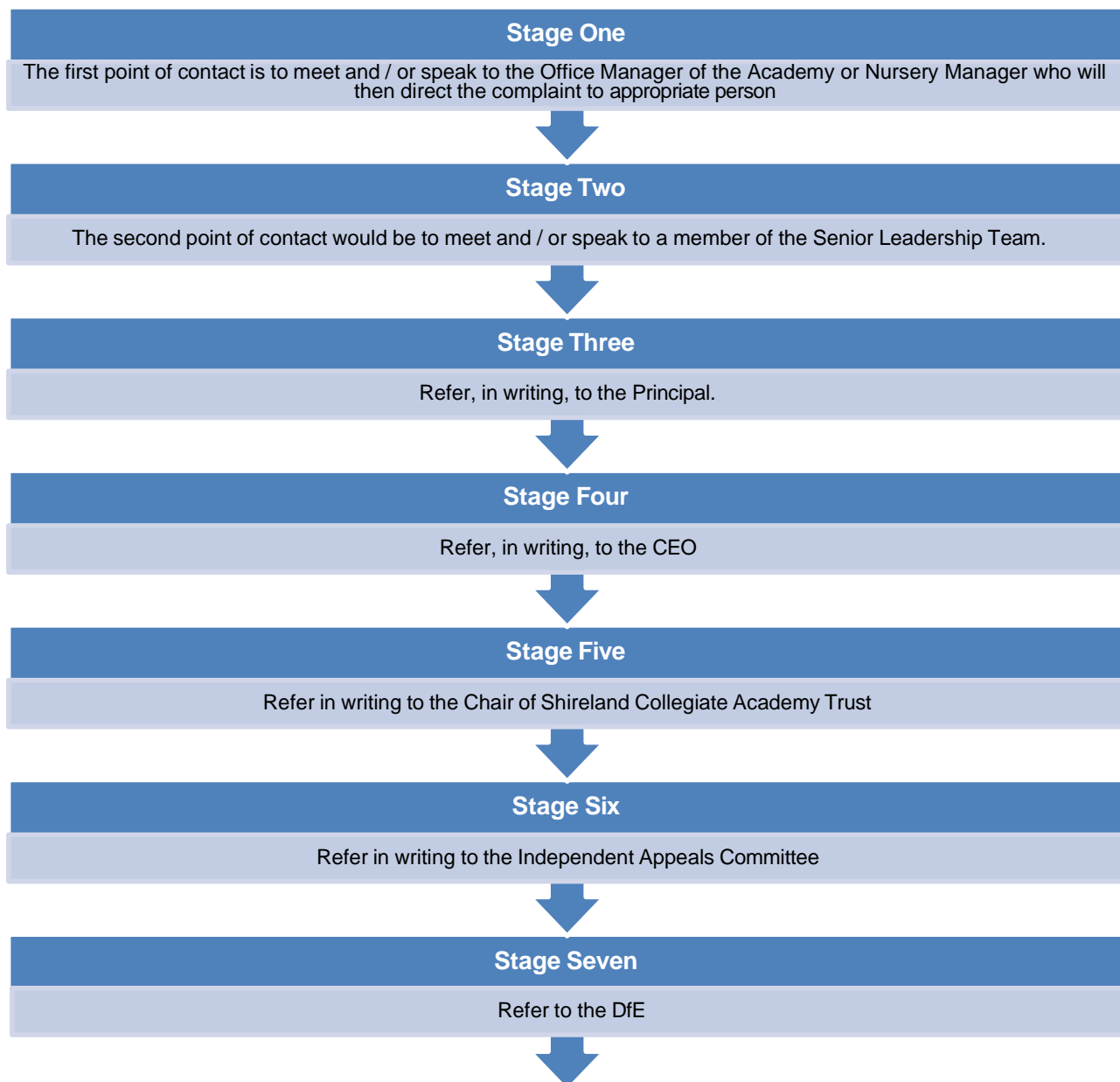
- Be simple to understand and follow
- Be focused on outcomes
- Keep people informed at all stages
- Where necessary, respect people's desires for confidentiality
- Be carefully monitored and evaluated
- Provide information to the senior leadership team so that the Academy's procedures can be improved.

The complaints procedure will follow a staged approach which is designed to ensure that every effort is made to deal with complaints in partnership with our community.

In this procedure:

- School days excludes weekends and Academy holidays. We will consider complaints made outside of term time to have been received on the first day after the holiday period. If the complainant is already going through the procedure and this is interrupted by a holiday, the procedure will be paused until the holiday has ended. If the procedure is close to concluding as the holiday approaches, we will make reasonable attempts to get the procedure to an end prior to the holiday period, but this may not always be possible
- Complainants should not approach individual governors or Trustees to raise concerns or complaints. They have no power to act on an individual basis and it may prevent them from considering complaints at a later stage

Making a complaint about an Academy, Nursery or a member of its staff



Any person expressing continued dissatisfaction should be advised on the next stage in the procedure.

Depending on the seriousness of the complaint, the Academy may choose to refer the complaint to any later stage of the process.

If the complaint is in relation to the Principal of the Academy, the complaint should be reported directly to Shireland Collegiate Academy Trust by emailing info@shirelandcat.net.

If the complaint is about the CEO, the actions at Stage 2 will be completed by the Chair of Trustees or an independent investigator.

Stage One: Discuss concerns with the Office Manager

- All issues reported to the Office Manager will be logged and followed up within 5 working days.
- The Office Manager will refer the complaint to the appropriate member of staff.
- Complainants will be advised to speak to the Head of Year, Head of Department or Pastoral Manager, so everyone has a clear picture of the situation from all points of view.
- The member of staff who is dealing with the complaint should ensure that the complainant is reassured that the matter will be investigated and is clear what action has been agreed. All parties should be aware of the need for confidentiality.
- A brief record of any telephone calls, meetings and agreed actions should always be kept, although it is not always necessary to provide the complainant with a written record at this stage.
- If either the complainant or staff member feels the matter needs to be taken further, this will be referred to Stage 2.

Stage Two: Discuss concerns with a Senior Member of Staff

- More serious concerns which remain unresolved at the end of Stage One will be referred to a Senior Member of Staff. He / She will then investigate the matter further.
- A log of all contacts in relation to the complaint should be kept.
- The Senior Member of Staff will communicate the outcome to the complainant either verbally or in writing, within 5 working days from receipt of the referral from Stage One. The Senior Member of staff or the Complainant can refer the matter to Stage 3.

Stage Three: Make a complaint to the Principal

- A complaint to the Principal can only be made once all previous avenues have been explored.
- The complaint should be in writing to the Principal at the Academy address
- The Principal will deal with this within 10 working days of the receipt of the complaint at this stage
- If the Complainant is still unhappy the next stage will be to be referred to CEO of the Trust.

Stage Four: The complaints can be referred to the Chief Executive Officer

- The CEO should only proceed with this stage of the process if they have received a complaint in writing.
- The CEO will deal with this within 10 working days of the receipt of the complaint at this stage
- If the Complainant is still unhappy, the next stage will be referred to the Chair of Shireland Collegiate Academy Trust.

Stage Five: Refer to the Chair of the Shireland Collegiate Academy Trust

- The Chair of the Trust should only proceed with this stage of the Complaint Procedure if they have received a complaint in writing.

- It is essential that this process is fair and objective. Any member of Shireland Collegiate Academy Trust Board who has been involved with the complaint at an earlier stage would be unable to give objective consideration to the issue.
- If the Complainant is still unhappy, the next stage will be to be referred to the Appeals Committee of the Trust.
- The Chair of Shireland Collegiate Academy Trust will deal with this within 10 working days of the receipt of the complaint at this stage

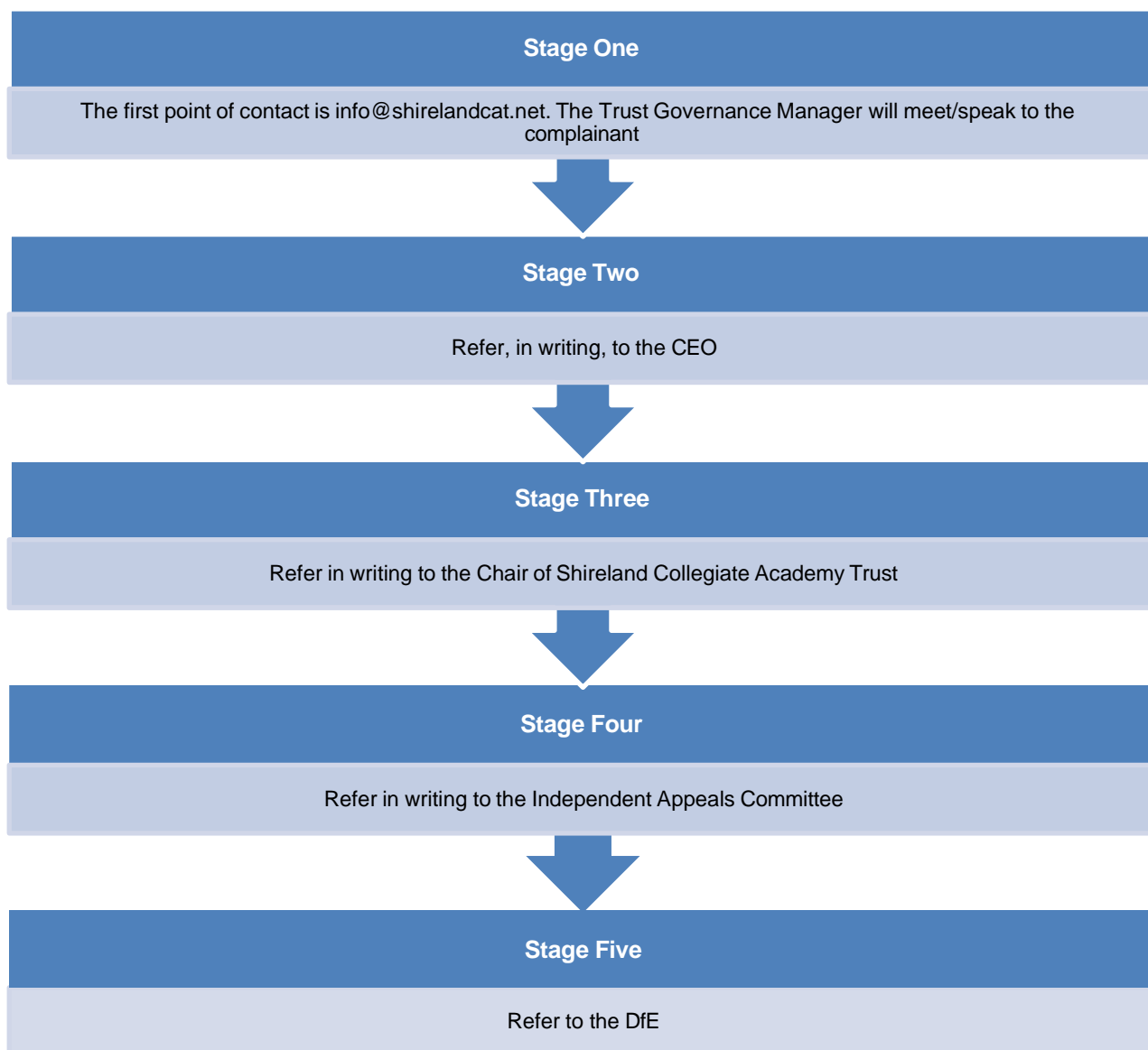
Stage Six: Refer to Appeals Committee of Shireland Collegiate Academy Trust

- It is for the Trust to agree upon the procedures for the Appeals Committee meeting. However, the Trust will usually convene a small panel of three – five independent members who have not previously been involved in the complaint. This will be dealt with within 10 working days of the complaint being received at this stage. At least one of these members will not be involved in the management or running of the school.
- The Chair of the panel should notify the CEO and the complainant as to whether they will be invited to attend the panel meeting. Alternatively, the panel may decide to consider written material only. Both parties must be treated equally. For example; if the CEO is invited to the meeting, so must the Complainant.
- If the complaint relates to staff disciplinary or a capability matter about which the CEO has already taken action, the members should focus on how the original complaint was managed to avoid prejudicing any on-going disciplinary or capability procedures.
- If they so wish the Complainant may attend a panel meeting and be accompanied by one other individual.
- The CEO, Complainant and where relevant the individual person being complained about, will be informed of the panel's decision in writing within 10 Academy working days. These decisions / findings will also be available for inspection by the Academy Principal on site.
- The Complaints Panel's decision is final.
- All correspondence, statements and records will be kept confidential except where the Secretary of State or a body conducting an inspection under section 109 of the 2008 Act requests access to them.

Stage Seven: Refer to the DfE

If the Complainant believes that Shireland Collegiate Academy Trust acted “unreasonably”, they can complain to the Secretary of State in the Department for Education under Section 496 of the Education Act 1996. Please note that “unreasonable” is used in a strict sense and means acting in a way that no reasonable Academy or Authority could act in the circumstances. The Complainant should write to The Secretary of State, Department for Education, Sanctuary Buildings, Great Smith Street, London, SW1P 3BT.

Making a complaint about the [Shireland Collegiate Academy Trust](#) or a member of its staff



Any person expressing continued dissatisfaction should be advised on the next stage in the procedure.

Depending on the seriousness of the complaint, the Trust may choose to refer the complaint to any later stage of the process.

Stage One: Report your complaint to info@shirelandcat.net and discuss concerns with the Trust Governance Manager

- All issues reported to info@shirelandcat.net will be logged and followed up by the Trust's Governance Manager within 5 working days.
- The Governance Manager should ensure that the complainant is reassured that the matter will be investigated and is clear what action has been agreed. All parties should be aware of the need for confidentiality.
- A brief record of any telephone calls, meetings and agreed actions should always be kept, although it is not always necessary to provide the complainant with a written record at this stage.

- If the complaint is about a member of staff the Governance Manager will involve the HR Director at this stage.
- If either the complainant or Governance Manager feels the matter needs to be taken further, this will be referred to Stage 2.

Stage Two: The complaints can be referred to the Chief Executive Officer

- The CEO should only proceed with this stage of the Complaint Procedure if they have received a referral from the Governance Manager at the end of Stage One.
- The CEO will deal with this within 10 working days of the receipt of the complaint at this stage
- If Complainant is still unhappy, the next stage will be to be referred to Chair of Shireland Collegiate Academy Trust.

Stage Three: Refer to the Chair of the Shireland Collegiate Academy Trust

- The Chair of the Trust should only proceed with this stage of the Complaint Procedure if they have received a referral from the CEO at the end of Stage Two.
- It is essential that this process is fair and objective. Any member of Shireland Collegiate Academy Trust Board who has been involved with the complaint at an earlier stage would be unable to give objective consideration to the issue.
- If the Complainant is still unhappy the next stage will be to be referred to the Appeals Committee of the Trust.
- The Chair of Shireland Collegiate Academy Trust will deal with this within 10 working days from when the referral is provided by the CEO.

Stage Four: Refer to Appeals Committee of Shireland Collegiate Academy Trust

- It is for the Trust to agree upon the procedures for the Appeals Committee meeting. However, the Trust will usually convene a small panel of three – five independent members who have not previously been involved in the complaint. This will be dealt with within 10 Academy working days of the referral from the previous stage of the process. At least one of these members will not be involved in the management or running of the Trust.
- The Chair of the panel should notify the CEO and the complainant as to whether they will be invited to attend the panel meeting. Alternatively, the panel may decide to consider written material only. Both parties must be treated equally. For example, if the CEO is invited to the meeting, so must the Complainant.
- If the complaint relates to staff disciplinary or a capability matter about which the CEO has already taken action, the members should focus on how the original complaint was managed to avoid prejudicing any on-going disciplinary or capability procedures.
- If they so wish the Complainant may attend a panel meeting and be accompanied by one other individual.
- The CEO, Complainant and where relevant the individual person being complained about, will be informed of the panel's decision in writing within 10 Academy working days of this stage. These decisions / findings will also be available for inspection by the CEO of Shireland Collegiate Academy Trust on site.
- The Complaints Panel's decision is final.
- All correspondence, statements and records will be kept confidential except where the Secretary of State or a body conducting an inspection under section 109 of the 2008 Act requests access to them.

Stage Five: Refer to the DfE

- If the Complainant believes that Shireland Collegiate Academy Trust acted “unreasonably”, they can complain to the Secretary of State in the Department for Education under Section 496 of the Education Act 1996. Please note that “unreasonable” is used in a strict sense and means acting in a way that no reasonable Academy or Authority could act in the circumstances. The Complainant should write to The Secretary of State, Department for Education, Sanctuary Buildings, Great Smith Street, London, SW1P 3

